## JOCK POS'CIPCTIFTO 15 NOV 2009

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	ANSMITTAL LETTER TO	ATTORNEY'S DOCKET NUMBER 2003/01						
DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371			UIS APPLICATION NO. (I known, see 37 CFR 1.5)					
PCT/US04	FIONAL APPLICATION NO. 1/15082	INTERNATIONAL FILING DATE 13 May 2004	PRIORITY DATE CLAIMED 15 May 2003					
_	TITLE OF INVENTION TOULATE ESTER FOR USE AS REACTIVE AND NON-REACTIVE DILUENT IN POLYMER APPLICATIONS							
	APPLICANT(S) FOR DO/EO/US Carina Araullo McAdams							
Applicant	herewith submits to the United Sta	ates Designated/Elected Office (DO/EC	D/US) the following items and other information:					
1. 🔽 🗆	1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.							
2. 🗆 т	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.							
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4. 🖸 🕆	The US has been elected (Article 31).							
5. 🔽	A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
1	a. is attached hereto (required only if not communicated by the International Bureau).							
	b. has been communicated by							
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).							
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).							
	a. Lis attached hereto.							
7.		ted under 35 U.S.C. 154(d)(4).	/35 U.S.C. 371(a)(3)\					
	(5)(5)							
	a. ure attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau.							
			ments has NOT expired.					
	c. have not been made; however, the time limit for making such amendments has NOT expired.  d. have not been made and will not be made.							
8. 🗆	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9. 🗹	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
Items	11 to 20 below concern document(s	) or information included:						
11. 🔟 🖜	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.							
12. 🔽 🛮	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13.	13. preliminary amendment.							
	An Application Data Sheet under 37 CFR 1.76.							
15.	A substitute specification.							
16. 🗸 🗀	A power of attorney and/or change of address letter.							
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.							
18. 🗀		national Application under 35 U.S.C. 154(d)						
19. 🗀	A second copy of the English languag	e translation of the international application	n under 35 U.S.C. 154(d)(4).					

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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U.S. APPLICAT	TION NO. (if know)	57103	PCT/US04/15082	PPLICATION NO.	2003/01	KET NUMBER	
20. Other items or information:							
PTO -2038	PTO -2038 Credit Card Payment; Return Receipt Postcard						
The foll	owing fees have	been submitted	· <del>-</del>		CALCULATIONS	PTO USE ONLY	
21.					\$ 300.00		
22. 📝 Exam	nination fee (37 C	FR 1.492(c))					
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  All other situations\$200					\$ 200.00		
23. Search fee (37 CFR 1.492(b))  If the written opinion of the ISA/US or the International preliminary examination report prepared by  IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$ 100.00		
	TOTAL OF 21, 2	22 and 23 =			\$ 6000		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)).  The fee is \$250 for each additional 50 sheets of paper or fraction thereof.							
Total Sheets			RATE				
100 =	/50 =	U	0	x \$250	\$	l	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$		
CLAIMS	NUM	BER FILED	NUMBER EXTRA	RATE	\$		
Total claims	25	<u> </u>	5	x \$ 50	\$ 250.00		
Independent clair		<u> </u>	1 8	x \$200	\$ 200.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360					\$ \$ 1,050.00 gg		
TOTAL OF ABOVE CALCULATIONS =  Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.					\$ 1,000.00	1	
SUBTOTAL =					\$		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$	•	
TOTAL NATIONAL FEE =					\$ 1,050.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$ 40.00		
TOTAL FEES ENCLOSED =					\$ 1,090.00		
					Amount to be refunded:	\$	
					Amount to be charged	\$	

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а. 🔲	A check in the amount of \$	to cover the above fees is er	5557103				
ь. 🗖	Please charge my Deposit Account No A duplicate copy of this sheet is enclosed.	in the amount of \$	to cover the above fees.				
с. 🗌	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.  A duplicate copy of this sheet is enclosed.						
d. 🗸	Fees are to be charged to a credit card. WARN be included on this form. Provide credit card		come public. Credit card information should not -2038.				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
Grego Invista 4501	OLL CORRESPONDENCE TO: Ory N. Clements a North America, S.à r.l. Charlotte Park Drive otte, NC 28217	NAM 30,71	N. Clements				

10/557103

## **EXPRESS MAIL CERTIFICATE**

Express Mail No.:

- EV 681574480 US

Date of Deposit:

- November 15, 2005

Type of Document(s):

- PTO 1390 - Transmittal

Amendment submitted 9/27/2005

Data Sheet

**Published Application** 

Declaration and Power of Attorney

PTO/SB08A – IDS

Int'l Search Report and Prior Art References

**IPER** 

Written Opinion

Demand PCT (IPEA 401 & PCT/IPEA 402)

Miscellaneous PCT Notices

PTO 1595 – Assignment

PTO-2038 - Credit Card Payment (2)

Postcard Receipt

Application No.:

- Not Assigned

Filing Date:

- Enclosed herewith

Applicant(s):

- Carina Araullo McAdams

Title:

- Toulate Ester for Use as Reactive and Non-Reactive

Diluent in Polymer Applications

Attorney Docket No.:

- 2003/01

I hereby certify that the above-referenced documents are being/have been deposited with the United States Postal Service "Express Mail Post Office to Addressee" in accordance with 37 CFR 1.10 on the above-referenced date and are being/have been addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 USA.

Nancy Burns